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Docket No. CRD5061USNP0

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Donald K. Jones, et al.

Serial No. : 10/738,473

Art Unit: 3763

Filed

: December 17, 2003

Examiner: Aamer S. Ahmed

For

: Activatable Bioactive Vascular

Occlusive Device And Method Of Use

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

July 27, 2005 (Date of Deposit)

Henry W. Collins

(Name of applicant, assignee, or Registered Representative)

July 27, 2005

(Date of Signature)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This copy is supplemental to the Information Disclosure Statement mailed on August 4, 2004.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §\$1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

In accordance with §1.97(b), since this Information

Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required. In accordance with \$1.129(a), this Information Disclosure Statement is being filed in connection with  $\square$  the first or ☐ second After Final Submission, therefore: Statement in Accordance with §1.97(e) П (attached); or Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth

☐ In accordance with §1.97(c), this Information

Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

in \$1.17(p).

Statement in Accordance with §1.97(e) 冈 (attached); or Please charge Deposit Account No. 10-П / the fee of \$180.00 as set forth in \$1.17(p). In accordance with §1.97(d), this Information  $\Box$ Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with \$1.97(e) as set forth below and the fee of \$180.00 as set forth in \$1.17(p). Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith. Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:  $\Box$ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith. If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request. Copies of only foreign patent documents and non- $\boxtimes$ patent literature are enclosed in accordance with 37 CFR 1.98 (a)(2).

PTO/SB/08A (08-00)

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 1

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Application Number	10/738,473			
Filing Date	December 17, 2003			
First Named Inventor	Donald K. Jones, et al.			
Group Art Unit	3763			
Examiner Name	A.S. Ahmed			
Attorney Docket Number	CRD5061USNP0			

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Pages, Columns, Lines,
		Number known)	Kind Code <sup>2</sup> (if	of Cited Document	of Cited Document mm-dd-yyyy	where relevant passages or relevant figures appear
		5,695,480	Α	Evans et al.	12-09-1997	
		6,599,275	B1	Fischer, Jr.	01-23-2003	
		2003/017190	A1	Sirhan Motasim et al.	01-23-2003	
	1					
	<b>1</b>					

HE DATENT DOCUMENTS

Cite No. <sup>1</sup>	Foreign Patent Document		ent	Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, where relevant	
				Applicant of Cited Document	mm-dd-yyyy	figures appear	T <sup>6</sup>
	Office <sup>3</sup> Number <sup>4</sup> KindCode <sup>5</sup>						
	EP	1 369 137	Α	Mark Mitchnick	12-10-2003		
	wo	02/083039	A1	Advanced Cardiovascular Systems, Inc.	10-24-2002		
		Cite No.1 Office3 KindCo	Cite No.¹  Office³ Number⁴ KindCode⁵  EP 1 369 137	Cite No.¹  Office³ Number⁴ KindCode⁵  EP 1 369 137 A	Cite No.1  Office <sup>3</sup> Number <sup>4</sup> KindCode <sup>5</sup> EP 1 369 137 A Mark Mitchnick  WO 02/083039 A1 Advanced Cardiovascular	Name of Patentee or Applicant of Cited Document  Mark Mitchnick  12-10-2003  WO 02/083039 A1 Advanced Cardiovascular 10-24-2002	Name of Patentee or Applicant of Cited Document mm-dd-yyyy Passages or relevant figures appear  Name of Patentee or Applicant of Cited Document mm-dd-yyyy Passages or relevant figures appear  Name of Patentee or Applicant of Cited Document mm-dd-yyyy Passages or relevant figures appear  Name of Patentee or Applicant of Cited Document mm-dd-yyyy Passages or relevant figures appear  Name of Patentee or Applicant of Cited Document mm-dd-yyyy Passages or relevant passages or relevant figures appear  Name of Patentee or Applicant of Cited Document mm-dd-yyyy Passages or relevant passages or relevant figures appear

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.





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#### STATEMENT UNDER 37 C.F.R. §1.97(e)

In accordance with 37 C.F.R.  $\S1.97(e)$ , certification is hereby made that:

Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or

Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement, was known to any individual designated in \$1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Henry W. Collins Reg. No. 25,039

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DATED: July 27, 2005



## SUBMISSION UNDER MPEP 609 D

Page 1 of 1

Application Number	10/738,473
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Examiner Initials No.1 Name of Patentee or Applicant of Cited Document Of Cited Document Number (if known)

No.1 No.1 No.1 Name of Patentee or Applicant of Cited Document Number (if known)

Number Pages, Columns, Lines, where relevant passages or relevant figures appear

Foreign Patent Document Pages, Columns, Lines, where relevant passages or relevant figures appear Name of Patentee or Examiner Cite T6 Applicant of Cited Document Office<sup>3</sup> Number<sup>4</sup> KindCode<sup>5</sup> Initials No.1 OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Cite No.<sup>1</sup> title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published T<sup>2</sup> Examiner Initials\* European Search Report dated April 11, 2005 for corresponding Appln. No. EP 04 25 7859

FOREIGN PATENT DOCUMENTS

Examiner	Date		
Signature	Considered	1	